

**NOTE: Before submitting this completed form to your employer, you may wish to protect the confidentiality of your health information by taping or stapling the form so that health information is not visible.**



# Connecticut Employee Enrollment/Change Form (2 - 50 Eligible Employees)

**INSTRUCTIONS:** You, the employee, must complete application in full or it will be returned to you resulting in a delay in processing. You are solely responsible for its accuracy and completeness. **If waiving coverage, please complete Sections B and D.**

|                                       |
|---------------------------------------|
| Member Aetna ID Number (if available) |
|---------------------------------------|

|                       |   |   |   |   |
|-----------------------|---|---|---|---|
| <b>Company Name</b>   |   |   |   |   |
| <b>Effective Date</b> | <input type="checkbox"/> New Hire<br><input type="checkbox"/> Rehire/Reinstatement  | <input type="checkbox"/> Change of Coverage<br><input type="checkbox"/> Add Spouse/<br>Civil Union Partner/<br>Domestic Partner | <input type="checkbox"/> Employee<br>Termination<br><input type="checkbox"/> Remove Spouse/<br>Civil Union Partner/<br>Domestic Partner | <input type="checkbox"/> COBRA <input type="checkbox"/> State Continuation for:<br><input type="checkbox"/> Employee <input type="checkbox"/> Dependent |
| <b>Date of Hire</b>   | <input type="checkbox"/> New Group Enrollment<br><input type="checkbox"/> Late Enrollment<br><input type="checkbox"/> Other _____ | <input type="checkbox"/> Add Dependent Child<br><input type="checkbox"/> Name Change<br><input type="checkbox"/> Other _____    | <input type="checkbox"/> Remove Dependent<br>Child<br><input type="checkbox"/> Cancel Coverage  | Length of Continuation:<br><input type="checkbox"/> 30 <input type="checkbox"/> 36 <input type="checkbox"/> Other _____                                 |
|                       |   |   |   | Original Qualifying Event Date<br>_____   |
|                       |   |   |   | Qualifying Event _____  |

**A. Coverage Selection – Please print clearly, using black ink. (Shaded sections for Employer/Aetna Use Only)**

| Control/Group No.   | Suffix | Account | Plan No. | Class Code |
|---|--------|---------|----------|------------|
| 1. Medical <input type="checkbox"/> Yes <input type="checkbox"/> No |        |         |          |            |
| <input type="checkbox"/> Open Choice PPO – Plan Option: _____       |        |         |          |            |
| <input type="checkbox"/> Aetna Indemnity – Plan Option: _____       |        |         |          |            |

| Control/Group No.  | Suffix | Account   | Plan No. |
|--|--------|---|----------|
| 2. Dental <input type="checkbox"/> Yes <input type="checkbox"/> No   |        |   |          |
| Standard Plans:<br>Option: _____   |        | Voluntary Plans:<br>Option: _____   |          |
| If FOC, choose: <input type="checkbox"/> DMO® or <input type="checkbox"/> PPO  |        | If FOC, choose: <input type="checkbox"/> DMO® or <input type="checkbox"/> PPO |          |
| Before today, were you covered under this employer's dental plan? <input type="checkbox"/> Yes <input type="checkbox"/> No |        |   |          |

| Control/Group No.  | Suffix | Account | Plan No. |
|--|--------|---------|----------|
| 3. Life and Disability <input type="checkbox"/> Yes <input type="checkbox"/> No <i>Check applicable boxes.</i> |        |         |          |
| NOTE: Disability coverage is for employee only. Disability plans do not cover dependents.                      |        |         |          |
| <input type="checkbox"/> Basic Life/AD&D Ultra® <input type="checkbox"/> Optional Dependent Life               |        |         |          |
| <input type="checkbox"/> Life & Disability Packaged Plan <input type="checkbox"/> Short Term Disability        |        |         |          |

|   |                                    |                               |
|---|------------------------------------|-------------------------------|
| Full Beneficiary Name (First, Middle, Last)                           | Beneficiary Social Security Number | Birthdate (MM/DD/YYYY)<br>/ / |
| Beneficiary Address (Number, Street, Apt. No., City, State, ZIP Code) | Telephone Number<br>( ) -          | Relationship to Employee      |

**B. Employee Information – Must be completed by the employee.**

|                                      |  |   |  |
|--------------------------------------|--|---|--|
| Social Security Number               | Last Name, First Name, M.I.  | Primary Language Spoken (Optional)  |  |
| Home Address (PO Box not acceptable) | Apt. No.   | City, State   | ZIP Code   |
| Work Address (PO Box not acceptable) |  | City, State   | ZIP Code   |
| Home Telephone<br>( ) -              | Work Telephone<br>( ) -  | No. of Hours Worked Per Week  | Number of Dependents (including Spouse/<br>Civil Union Partner/Domestic Partner)<br>enrolling for coverage   |
| Salary<br>\$                         | <input type="checkbox"/> Hourly<br><input type="checkbox"/> Weekly<br><input type="checkbox"/> Monthly | Check One<br><input type="checkbox"/> Full-Time <input type="checkbox"/> 1099 <input type="checkbox"/> Seasonal <input type="checkbox"/> COBRA<br><input type="checkbox"/> Part-Time <input type="checkbox"/> Retiree <input type="checkbox"/> Temporary <input type="checkbox"/> Union | Marital Status<br><input type="checkbox"/> Married <input type="checkbox"/> Civil Union Partner<br><input type="checkbox"/> Single <input type="checkbox"/> Domestic Partner |

**C. Individuals Covered - List individuals for whom you are enrolling or adding/changing/removing coverage. Add additional sheets if necessary.** NOTE FOR MEDICAL AND DENTAL COVERAGE: While the Federal Patient Protection and Affordable Care Act mandates coverage of dependent children up to age 26 for medical plans and some dental plans, your plan may allow coverage beyond age 26. Some exceptions apply. Please refer to your plan documents or contact your benefits administrator.

|   |                                   |   |   |   |   |
|---|-----------------------------------|---|---|---|---|
| 1 | (A)dd<br>(C)hange ___<br>(R)emove | Employee Name (Last, First, M.I.)   | Sex (M/F)   | Social Security Number                          |   |
|   | Birthdate (MM/DD/YYYY)<br>/ /     | Coverage Election<br><input type="checkbox"/> Medical <input type="checkbox"/> Life <input type="checkbox"/> Dental <input type="checkbox"/> Disability | Dental Office ID Number<br>(If applicable)          | Current Patient<br>Yes <input type="checkbox"/> |   |
| 2 | (A)dd<br>(C)hange ___<br>(R)emove | Name (Last, First, M.I.)<br><input type="checkbox"/> Spouse <input type="checkbox"/> Civil Union Partner <input type="checkbox"/> Domestic Partner      | Sex (M/F)   | Social Security Number                          |   |
|   | Birthdate (MM/DD/YYYY)<br>/ /     | Coverage Election<br><input type="checkbox"/> Medical <input type="checkbox"/> Life <input type="checkbox"/> Dental                                     | Dental Office ID Number<br>(If applicable)          | Current Patient<br>Yes <input type="checkbox"/> |   |
| 3 | (A)dd<br>(C)hange ___<br>(R)emove | Name (Last, First, M.I.) <input type="checkbox"/> Child <input type="checkbox"/> Stepchild <input type="checkbox"/> Other                               | Sex (M/F)   | Social Security Number                          |   |
|   | Birthdate (MM/DD/YYYY)<br>/ /     | Coverage Election<br><input type="checkbox"/> Medical <input type="checkbox"/> Life <input type="checkbox"/> Dental                                     | Student (Life Only)<br>Yes <input type="checkbox"/> | Dental Office ID Number<br>(If applicable)      | Current Patient<br>Yes <input type="checkbox"/> |
| 4 | (A)dd<br>(C)hange ___<br>(R)emove | Name (Last, First, M.I.) <input type="checkbox"/> Child <input type="checkbox"/> Stepchild <input type="checkbox"/> Other                               | Sex (M/F)   | Social Security Number                          |   |
|   | Birthdate (MM/DD/YYYY)<br>/ /     | Coverage Election<br><input type="checkbox"/> Medical <input type="checkbox"/> Life <input type="checkbox"/> Dental                                     | Student (Life Only)<br>Yes <input type="checkbox"/> | Dental Office ID Number<br>(If applicable)      | Current Patient<br>Yes <input type="checkbox"/> |
| 5 | (A)dd<br>(C)hange ___<br>(R)emove | Name (Last, First, M.I.) <input type="checkbox"/> Child <input type="checkbox"/> Stepchild <input type="checkbox"/> Other                               | Sex (M/F)   | Social Security Number                          |   |
|   | Birthdate (MM/DD/YYYY)<br>/ /     | Coverage Election<br><input type="checkbox"/> Medical <input type="checkbox"/> Life <input type="checkbox"/> Dental                                     | Student (Life Only)<br>Yes <input type="checkbox"/> | Dental Office ID Number<br>(If applicable)      | Current Patient<br>Yes <input type="checkbox"/> |
| 6 | (A)dd<br>(C)hange ___<br>(R)emove | Name (Last, First, M.I.) <input type="checkbox"/> Child <input type="checkbox"/> Stepchild <input type="checkbox"/> Other                               | Sex (M/F)   | Social Security Number                          |   |
|   | Birthdate (MM/DD/YYYY)<br>/ /     | Coverage Election<br><input type="checkbox"/> Medical <input type="checkbox"/> Life <input type="checkbox"/> Dental                                     | Student (Life Only)<br>Yes <input type="checkbox"/> | Dental Office ID Number<br>(If applicable)      | Current Patient<br>Yes <input type="checkbox"/> |

**D. Declination/Waiver of Coverage – To be completed if medical and/or dental coverage is declined or refused by an eligible employee and/or their eligible family members.**

I understand I am eligible to apply for this coverage through my employer; however, I am waiving coverage as noted below.

|  |  |
|--|--|
| <input type="checkbox"/> Waive <b>Medical</b> coverage for:<br><input type="checkbox"/> Myself <input type="checkbox"/> Child(ren)<br><input type="checkbox"/> Spouse/Civil Union/Domestic Partner<br><input type="checkbox"/> Waive <b>Dental</b> coverage for:<br><input type="checkbox"/> Myself <input type="checkbox"/> Child(ren)<br><input type="checkbox"/> Spouse/Civil Union/Domestic Partner<br><input type="checkbox"/> Waive <b>Life</b> coverage for:<br><input type="checkbox"/> Myself <input type="checkbox"/> Child(ren)<br><input type="checkbox"/> Spouse/Civil Union/Domestic Partner<br><input type="checkbox"/> Waive <b>Disability</b> coverage for: <input type="checkbox"/> Myself | <b>Reason for declining coverage:</b><br><input type="checkbox"/> Spouse/Civil Union/Domestic Partner group coverage<br><input type="checkbox"/> Parental group coverage<br><input type="checkbox"/> Medicare<br><input type="checkbox"/> Medicaid<br><input type="checkbox"/> Retiree coverage<br><input type="checkbox"/> Another group plan provided by my employer<br><input type="checkbox"/> COBRA coverage<br><input type="checkbox"/> Insurance through another job<br><input type="checkbox"/> TRICARE<br><input type="checkbox"/> Individual coverage – On or Off Exchange<br><input type="checkbox"/> Do not want<br><input type="checkbox"/> Other _____ |
|--|--|

I certify I have been given the right to apply for this coverage; however, I am waiving coverage as noted above. By declining this group coverage I acknowledge that I and/or my dependents may have to wait until the plan's next anniversary date to be enrolled for group coverage.

Please sign here **ONLY** if you are declining coverage for yourself and/or dependent(s) Date (Month/Day/Year)

Employee Signature **X**

### E. Dependent Information

|  |             |                          |                        |
|--|-------------|--------------------------|------------------------|
| List any dependent in Section D living at another address.   |             |                          |                        |
| Name   |             | Address                  |                        |
|  |             |                          |                        |
|  |             |                          |                        |
| For Dependent Life Coverage: If age +19 and a full-time student, provide the following if enrolling for life coverage: |             |                          |                        |
| Child Name   | School Name | Expected Graduation Date | Number of Credit Hours |
|  |             |                          |                        |
|  |             |                          |                        |
|  |             |                          |                        |

### F. Coordination of Benefits

|  |              |                |              |
|--|--------------|----------------|--------------|
| Will you have other health insurance at the same time as this coverage? <input type="checkbox"/> Yes <input type="checkbox"/> No |              |                |              |
| Name of Person   | Carrier Name | Name of Person | Carrier Name |
|  |              |                |              |
|  |              |                |              |
|  |              |                |              |

### G. Case Management (OPTIONAL – This information will be used to help coordinate your care. It will not impact your premium rate or eligibility for coverage. Case management is a process of identifying individuals with certain medical conditions associated with complex health care needs and helps us better provide you with any care you may need.)

|   |  |  |
|---|--|--|
| <input type="checkbox"/> AIDS   | <input type="checkbox"/> Congestive Heart Failure                      | <input type="checkbox"/> Multiple Sclerosis                      |
| <input type="checkbox"/> ALS (Amyotrophic lateral sclerosis) - Lou Gehrig's disease | <input type="checkbox"/> COPD using oxygen                             | <input type="checkbox"/> Muscular Dystrophy                      |
| <input type="checkbox"/> Auto Immune Disorders (e.g., scleroderma, Systemic Lupus)  | <input type="checkbox"/> Cor Pulmonale                                 | <input type="checkbox"/> Myasthenia Gravis                       |
| <input type="checkbox"/> Traumatic Brain Injury                                     | <input type="checkbox"/> Defibrillator /AICD/ Implantable Cardioverter | <input type="checkbox"/> Paralysis                               |
| <input type="checkbox"/> Cerebral Palsy using wheelchair                            | <input type="checkbox"/> End of Life/Hospice                           | <input type="checkbox"/> Paraplegic                              |
| <input type="checkbox"/> Chronic Pain   | <input type="checkbox"/> Hypertensive Heart Disease                    | <input type="checkbox"/> Pregnant - high risk or multiple births |
|   | <input type="checkbox"/> Hypertensive Renal Disease                    | <input type="checkbox"/> Quadriplegic                            |
| Name of Individual  | Condition(s)   |  |
|   |  |  |
|   |  |  |
|   |  |  |

### Conditions of Enrollment

On behalf of myself and the dependents listed on Page 2, I agree to or with the following:

- I acknowledge that by enrolling in an Aetna plan coverage is provided by Aetna Life Insurance Company (referred to as "Aetna").
- I understand and agree that my employer's application will determine coverage and that there is no coverage unless and until both the eligible employee and employer applications have been accepted and approved by Aetna. Even if this enrollment form is approved, any misstatements or omissions may result in future claims being denied and the policy or my coverage under the policy being rescinded or reevaluated, as of the effective date, for eligibility and rating purposes, subject to the Connecticut incontestability clause with a 2 year limit on information in this application.  
**For life coverages:** I understand that the effective date of insurance for myself or for any of my dependents is subject to my being actively at work on that date and that the effective date of insurance for any of my dependents is also subject to the dependent health condition requirements of the benefit plan. Further, I understand that any insurance subject to evidence of good health or medical information will not become effective until Aetna gives its written consent. For Dependent Life, dependents are eligible from 14 days of age up to their 19<sup>th</sup> birthday or up to their 23<sup>rd</sup> birthday, if a full-time student.
- I understand and agree that this Enrollment/Change Form may be transmitted to Aetna or its agent by my employer or its agent. I authorize any physician, other healthcare professional, hospital or any other healthcare organization ("Providers"), to give to Aetna or its agent information concerning the medical history, services or treatment provided to anyone listed on this Enrollment/Change Form, including those involving mental health, substance abuse and HIV/AIDS. I further authorize Aetna to use such information and to disclose such information to affiliates, Providers, payors, other insurers, third party administrators, vendors, consultants and governmental authorities with jurisdiction when necessary for my care or treatment, payment for services, the operation of my health plan, or to conduct related activities. I have discussed the terms of this authorization with my spouse/civil union partner/domestic partner and competent adult dependents and I have obtained their consent to those terms. This authorization will remain valid for the term of the coverage and for so long thereafter as allowed by law. I understand that I am entitled to receive a copy of this authorization upon request and that a photocopy is as valid as the original.
- The plan documents will determine the rights and responsibilities of member(s) and will govern in the event they conflict with any benefits comparison, summary or other description of the plan.

continued on next page

**Conditions of Enrollment (Continued)**

- 5. I understand and agree that, with the exception of Aetna Rx Home Delivery®, all participating providers and vendors are independent contractors and are neither agents nor employees of Aetna. Aetna Rx Home Delivery, LLC, is a subsidiary of Aetna Inc. The availability of any particular provider cannot be guaranteed and provider network composition is subject to change. Notice of the change shall be provided in accordance with applicable state law.
- 6. I understand and agree that, with certain exceptions described in the plan documents, DMO® plans only provide coverage for referred benefits, and that, in order to be covered, services must be performed either by a participating primary care physician, primary care dentist, or by the participating specialist, hospital, pharmacy, dentist, or other provider as authorized by a referral from a participating primary care physician. In Connecticut, DMO plans provide out-of-network benefits. However, in order to receive maximum benefits, members must select and have care coordinated by a participating primary care dentist. Connecticut DMO is not an HMO.

**Misrepresentation**

- 7. Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

If you are currently covered by accident and sickness insurance, is this plan intended to replace your current coverage?  Yes  No

To the best of my knowledge and belief, I represent that all information supplied in this form is true and complete. I have read and agree to the Conditions of Enrollment and Misrepresentation on this **Connecticut** Employee Enrollment/Change Form. I understand that, in the event I fail to sign this form within 31 days after the above transaction request or for any reason Aetna does not receive notice of the above transaction request within a reasonable time following the event, my and my dependents' eligibility may be affected. I am employed by the employer shown on Page 1, and I am working full time at least 30 hours per week (or 20 hours per week if my employer extends coverage) for this employer at the regular place of business.

| <i>Employee Signature</i> | <i>Employee E-mail Address (optional)</i> | <i>Date (Month/Day/Yr)</i> |
|---------------------------|---|----------------------------|
| X                         |   |                            |