

CENSUS INFORMATION:	
Total Number of Employees:	_____
Number of Employees Eligible:	_____
Number of Single:	_____
Number of Two-Party:	_____
Number of Three-Party+:	_____

EMPLOYER CONTRIBUTION:	
_____%	Employees
_____%	Dependents
REQUIRED PARTICIPATION:	
<u>75%</u>	Employees
<u>50%</u>	Dependents

RATES: Monthly			per Employee Type:	
			1st Year	
Single:	\$ _____	\$ _____		
Two-Party:	\$ _____	\$ _____		
Three-Party+:	\$ _____	\$ _____		
Family:	\$ _____	\$ _____		
Composite:	\$ _____	\$ _____		

RATING METHOD:	
<input checked="" type="checkbox"/>	Prospective
<input type="checkbox"/>	Cost Plus
<input type="checkbox"/>	Retention
<input type="checkbox"/>	ASO/ERISA
Prefund: \$ _____	

ADMINISTRATION OR RETENTION FEE:			
<input type="checkbox"/>	% of claims	<input type="checkbox"/>	% of premium
<input type="checkbox"/>	\$ _____ per employee per month		
Settlement:		Claims:	by _____
		Fee:	by _____

ELIGIBILITY INFORMATION:	
New Hire Eligibility:	<input type="checkbox"/> DOH
First of month following:	<input type="checkbox"/> date of hire; _____ days of employment.
Additions:	Standard
Terminations:	Standard — End of Month

BROKER / CONSULTANT INFORMATION (if applicable)			
Company Name: _____			
Address: _____			
City: _____		State: _____	Zip: _____ -
Contact Person: _____		Title: _____	
E-mail Address: _____		Phone: () -	Fax: () -
Commission Amount: _____		Commission Payable To: _____	

SPECIAL REQUESTS (Attach additional page if necessary)	

Medical Carrier _____

Application is herewith made for a dental service contract from Delta Dental of New York, Inc. (Delta). It is understood that this Application is offered as an inducement for issuance of a dental service contract by Delta. Such contract will be based exclusively on the information given to or acquired by Delta from this Application. To that end, the signer of the Application declares that he/she has read the statements and answers above and that to the best of his/her knowledge that the answers are true. No waiver or modification of the Application shall be accepted unless in writing and signed by an authorized officer of Applicant. It is understood that acceptance of this Application shall only be by delivery to Applicant of a dental service contract duly signed by the President of Delta. It is further understood that Delta underwriting criteria for this contract requires at least 75% of eligible employees and 50% of employees with dependents must enroll. Any variance in this criteria must be approved by Delta prior to acceptance of the program. Applicant understands that, regardless of the effective date above, unless and until 1) this Application is executed by a duly authorized officer of Applicant and returned to Delta, 2) the premium is paid, and 3) enrollment procedures are completed, no claims will be paid for Enrollees under the contract. Except as otherwise limited by the Health Insurance Portability Accountability Act and its administrative simplification regulations ("HIPAA"), Applicant shall provide Delta with Protected Health Information ("PHI") for the proper implementation, administration and management of the group dental contract for which the Applicant is applying. Delta agrees that the PHI will be held confidential and used or further disclosed only to administer the group dental program as described in the group dental service contract or as permitted or required by law. Delta and Applicant shall comply with all applicable federal and state laws and regulations relating to administrative simplification, security, and privacy of PHI, including the terms of any business associate agreement/addendum that may be required as part of the group dental service contract to be executed between the Applicant and Delta.

Dated on _____ Name of Applicant _____

By _____

Witness _____

Soliciting Agent _____

Any person who knowingly and with intent to defraud any insurance company or any other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading information concerning any fact material thereto commits a fraudulent insurance act, which is a crime. Enrollees whose company is headquartered in the state of New York and who commit a fraudulent insurance crime shall be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

Delta Dental of New York, Inc.
Small Business Program
SUPPLEMENT TO GROUP APPLICATION

APPLICANT INFORMATION

Applicant's Name:	EIN:	4-digit SIC code:
State where company is headquartered:		
Will this Delta Dental program replace existing dental coverage currently through another dental plan? <input type="checkbox"/> No <input type="checkbox"/> Yes, name of carrier:	Number of Employees Participating in DeltaCare® USA Program: Total Enrollees:	

CONTACT PERSON INFORMATION

Contact Person:	Title:		
Contact Phone: () -	Contact Email:		
Contact Fax Number: () -			
Billing address (if different from Applicant):	City:	State:	Zip:

DUAL CHOICE AND ENROLLMENT INFORMATION

Dual Choice with a DeltaCare USA Program? (Y/N):	Minimum for Enrollment: 5 Primary Enrollees
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DELTACARE USA PROGRAM (check one)

<input type="checkbox"/> Plan 13A	<input type="checkbox"/> Plan 15A	<input type="checkbox"/> Plan M73 (not available in NY state)
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EMPLOYER CONTRIBUTIONS (check one)

<input type="checkbox"/> 0% - 49.9%	<input type="checkbox"/> 50% - 74.9%	<input type="checkbox"/> 75% - 100%
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DELTACARE USA RATES (complete the following if client applying for DeltaCare USA)

Enrollee Only:	(# of enrollees) x \$	monthly premium rates) = \$
Enrollee & 1 Dependent:	(# of enrollees) x \$	monthly premium rates) = \$
Enrollee & Family:	(# of enrollees) x \$	monthly premium rates) = \$
		= \$

BROKER (WRITING AGENT) INFORMATION

Name:)	
Company name:	Company is incorporated? (Y/N):
Tax ID or SSN:	State & State License #:

GENERAL AGENT ("GA") INFORMATION – OFFICE USE ONLY

GA COMPANY NAME:
GA SALES REPRESENTATIVE:
<input type="checkbox"/> LEVEL ONE <input type="checkbox"/> LEVEL TWO